

Revision Cover Page for FFIS project

United Nations Development Programme Iraq Project Document

Project Title	Funding Facility for Stabilization
UNDAF Outcome(s):	Conditions improved for safe return of IDPs in Newly Liberated Areas ¹
Expected CP Outcome(s): <i>(Those linked to the project and extracted from the CP)</i>	Conditions improved for safe return of Internally Displaced People in Newly Liberated Areas
Expected Output(s): <i>(Those that will result from the project)</i>	Output 1: Iraqi Government in newly liberated areas is supported to address immediate challenges for return of IDPs; Output 2: High impact medium-size projects are reconstructed and local businesses supported in order to sustain gains in stabilization
Implementing Partner:	UNDP

Substantive Revision Justification

When FFIS was established, the working assumption was that enhanced stabilization projects would be undertaken by the Government using public revenues as soon as FFIs left a city or district. This sequencing has not materialized due to the drastic drop in oil revenue. That is why a second channel of the Funding Facility is being opened for meeting "expanded" needs at Governorate level for 2-3 year period. The Expanded Stabilization priorities concern medium-scale projects that generate large number of jobs, incentivize mass returns and help consolidate corridors between stabilized cities and district. Priority will also be given to public work schemes that employ thousands of youth, housing depots that provide subsidized inputs to families so they can self-rehabilitate damaged property and homes, micro-insurance schemes that give families access to money when they are in trouble and direct support to businesses to overcome liquidity gaps. The Expanded Stabilization channel will have 4 windows for each liberated Governorate (Anbar, Salah-al Din, Diyala and Ninewah). Through this revision, the Stabilization Funding Facility project will have two channels: Immediate Stabilization (FFIS) and Expanded Stabilization (FFES). Both channels will be managed under the same Steering Committee.

Programme Period:	2016-2020
Key Result Area (Strategic Plan) Atlas Award ID:	Crisis response
Start date:	May 2015
End Date	Dec 2018
PAC Meeting Date	26 May 2015
Management Arrangements	DIM

Total resources required	550,000,000
Revised budget FFIS	150,000,000
Received contributions FFIS	67,000,000
To be mobilized for FFIS	83,000,000
Requested budget FFES	400,000,000

On behalf of: Mehdi Al-Alak Signature: [Signature] Date: 24-4-2016 Name/Title

Chief of Staff PMO's

X Agreed by (Government Iraq)

Agreed by (UNDP):

[Signature]

24 April 2016

Resident Representative

¹ UNDAF 2015-2019 under revision, draft outcome

I. RESULTS AND RESOURCES FRAMEWORK STABILIZATION FUNDING FACILITY

Intended Outcome as stated in the UNDP Strategic Plan 2014-2017

Outcome 6: Early Recovery and rapid return to sustainable development pathways are achieved in post crisis and post disaster settings

Outcome indicators as stated in the Country Programme Results and Resources Framework, including baseline and targets:

Outcome 3: Conditions improved for the safe return of Internally Displaced Persons in Newly Liberated Areas

Increase in the % of IDPs returning to newly liberated areas. Baseline: 10%. Target 2018: 80%

Number of hours/day of electricity in newly liberated areas. Baseline: 2 hours. Target 2018: 16 hours

Applicable Key Result Area: SP outcomes 6

Partnership Strategy: The project will work closely with Central and regional level Government, civil society, community organizations and, where applicable UN agencies, as a concerted effort for stabilization.

Project title and ID (ATLAS Award ID): Funding Facility for Stabilization

INTENDED OUTPUTS	OUTPUT TARGETS FOR (YEARS)	INDICATIVE ACTIVITIES	RESPONSIBLE PARTIES	INPUTS
<p>Output 1 (Funding Facility for Immediate Stabilization – FFIS)</p> <p>Iraqi Government is supported to address the immediate stabilization needs in newly accessible areas which allows for the return of IDPs</p> <p>Baseline: No assessments have been carried out after liberation. Liberated areas in 4 target provinces have substantially reduced access to basic services (water, health, electricity, education and municipal services).</p> <p><u>Indicators:</u></p> <p>% of assessments carried out in FFIS targeted areas</p>	<p>Target year 1</p> <ul style="list-style-type: none"> -Rapid stabilization and recovery assessments carried out in 80% of the FFIS targeted areas -80 projects have started of which 35 projects are completed. -2000 youth and 500 women benefit from job opportunities <p>Target end of year 2</p> <ul style="list-style-type: none"> -100 % of FFIS targeted liberated areas have stabilization assessments. -total of 150 projects are being implemented of which 90 have been completed 	<p>1.1 Activity Result: Carry out the local assessments with verification missions and prioritization workshops and finalize report</p> <ul style="list-style-type: none"> ▪ Action: develop assessment methodology ▪ Action: provide advice to local authorities ▪ Action: organize prioritization workshops, verification missions ▪ Action: deploy stabilization advisor for coordinating the assessment exercise <p>1.2 Activity Result: Rehabilitation of priority infrastructure in newly liberated areas</p> <ul style="list-style-type: none"> ▪ Action: develop Bill of Quantities and tendering ▪ Action: contracting and monitoring ▪ Action: service centre staff recruited ▪ Action: pre-qualification of NGOs/partners 	<p>UNDP</p> <p>Local authorities</p> <p>Civil society organizations</p> <p>UN agencies</p> <p>NGOs</p>	<p>International and national staffing</p> <p>Assessments</p> <p>Workshops</p> <p>Contracting of private sector and NGOs</p> <p>Monitoring</p> <p>Travel</p> <p>Equipment</p>

<p>Infrastructure for basic services (water, health, education, electricity and municipal services) have been restored in selected areas, with a target of 250 total projects completed by end of the Funding Facility.</p> <p>Number of beneficiaries for infrastructure and number of job opportunities for individuals, incl. women and youth created.</p> <p>Stabilization operations centre established to manage rehabilitation projects and procurement/finance.</p>	<p>-total of 4000 youth and 2000 women benefit from job opportunities</p> <p>Target end of year 3: -total of 200 projects completed</p> <p>-total of 7000 youth and 3000 women benefit from job opportunities</p>	<p>1.3 Activity Result: Jumpstarting of the local economy and income generation such as Cash for Work</p> <ul style="list-style-type: none"> ▪ Action: quick employment projects set up ▪ Action: small business grants provided ▪ Action: livelihoods experts train NGOs <p>1.4 Activity Result: Technical support to Government authorities</p> <ul style="list-style-type: none"> ▪ Action: Advisory support and deployment of ad-hoc expertise where required <p>1.5 Activity Result: Design and implementation of social cohesion and dialogue initiatives</p> <ul style="list-style-type: none"> ▪ Action: Selection of NGO partners ▪ Action: Social cohesion expertise <p>1.6 Activity Result: Project management team set up</p> <ul style="list-style-type: none"> ▪ Action: External Monitoring firm in place ▪ Action: Communications staff and program manager in place ▪ Action: Security management arrangements in place incl. equipment 	
<p>Output 2 (Funding Facility for Expanded Stabilization – FFES)</p> <p>High impact medium-size projects are reconstructed and local businesses supported in order to sustain gains in stabilization</p> <p>Baseline: No medium-size projects implemented so far in newly liberated areas</p> <p>Indicators: Number of implemented projects and number of beneficiaries</p>	<p><u>Targets year 1</u></p> <p>-80% of agreed upon priority stabilization initiatives of FFES started implementation and 20% of initiatives implemented</p> <p>-100,000 people benefited from improved infrastructure and livelihoods</p> <p><u>Targets year 2</u></p> <p>-100% of projects which started in year 1 have been</p>	<p>2.1 Activity Result: Implementation structure built up and potential partners identified</p> <ul style="list-style-type: none"> ▪ Action: recruitment of project and operations staff for expanded stabilization channel ▪ Action: pre-qualification of NGOs and capacity assessments of partners <p>2.2 Activity Result: Rehabilitation of priority infrastructure in newly liberated areas</p> <ul style="list-style-type: none"> ▪ Action: develop BoOs and tendering ▪ Action: contracting and monitoring 	<p>International and national staffing Contracting of private sector and NGOs Monitoring Travel Equipment</p>
		<p>UNDP Local Authorities Civil Society Organizations UN Agencies NGOs</p>	

<p>implemented and 80% of new projects of year 2 have started</p> <p>-300,000 people benefited from improved infrastructure and livelihoods</p> <p><u>Targets year 3:</u></p> <p>-100% of projects which started in year 2 have been implemented and 80% of year 3 projects have started</p> <p>-300,000 people benefited from improved infrastructure and livelihoods</p>	<p>2.3 Activity Result: Jumpstarting of the local economy and income generation such as Cash for Work</p> <ul style="list-style-type: none"> ▪ Action: Selection of implementation partners ▪ Action: Monitoring mechanisms set up <p>2.4 Activity Result: housing depots for house repairs and house complexes</p> <ul style="list-style-type: none"> ▪ Action: housing assessments ▪ Action: contracting <p>2.5 Activity Result: Micro insurance schemes explored and piloted</p>	
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II. WORK PLAN FFIS

Years: May 2015 – Dec 2018

EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET	
		2015	2016	2017	2018		Funding Source Budget Description	Amount in USD
<p>Output 1 (FFIS)</p> <p>Iraqi Government is supported to address the immediate stabilization and recovery needs in newly accessible areas which allows for the return of IDPs</p> <p><u>Baseline:</u></p> <p>Iraqi Government took control of previously ISIL controlled areas and has started some initial urgent repairs of infrastructure mainly water and electricity. No assessments have been carried out after liberation.</p> <p><u>Indicators:</u></p> <p>Number of stabilization infrastructural projects.</p> <p>Number of people who receive employment/livelihood support</p> <p><u>Targets:</u></p> <p>% of assessments carried out in FFIS targeted areas</p> <p>Infrastructure for basic services (water, health, education, electricity and municipal services) have been restored in selected areas, with a target of 250 total projects</p>	<p>Activity Result 1.1: carry out assessment and finalize report</p> <ul style="list-style-type: none"> - Action: develop assessment methodology - Action: provide advice to local authorities - Action: organize prioritization workshops and verification missions - Action: deploy stabilization advisor for coordinating the assessment exercise <p>Activity Result 1.2: rehabilitate priority infrastructure as identified in assessments</p> <ul style="list-style-type: none"> - Action: develop BoQs and tendering - Action: contracting and monitoring <p>Activity Result 1.3: jumpstarting of the local economy cash for work and other livelihoods support set up as identified in assessments</p> <ul style="list-style-type: none"> - Action: quick employment projects - Action: small grants for businesses - Action: livelihoods experts <p>Activity Result 1.4: technical assistance to local authorities</p> <ul style="list-style-type: none"> - Action: advisory support - Action: recruitment <p>Activity Result 1.5: design of social cohesion initiatives</p> <ul style="list-style-type: none"> - Action: contracting of partners and monitoring - Action: social cohesion expertise 		X	X		UNDP	Cost of advisor	1,038,889
		X	X	X	X	UNDP	Contracting by UNDP (through private sector)	110,000,000
		X	X	X	X	UNDP	Small start-up grants CfW: 20USD/day, 10,000 people – 3 months minimum Tools/equipment NGO contracts	17,800,000
		X	X	X	X	UNDP	Short term Technical assistance (national/international)	3,800,000
		X	X	X	X	UNDP	NGO contracts community reconciliation experts	3,200,000

completed by end of the Funding Facility. Number of beneficiaries for infrastructure and number of job opportunities for individuals, incl. women and youth created. Operations centre established to manage rehabilitation projects and procurement/finance.	Activity Result 1.6: project management team set up - Action: external monitoring firm in place - Action: communications specialist and program manager - Action: security arrangements in place	X	X	X	X	UNDP	International and national staffing Equipment	3,000,000
SUB-TOTAL	Sub-total of Output 1							138,888,8889
TOTAL	GMS (8%)							11,111,111
								150,000,000

WORK PLAN FFES

Years: May 2016 – December 2018

EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	TIMEFRAME			RESPONSIBLE PARTY	PLANNED BUDGET	
		2016	2017	2018		Funding Source	Amount in USD
						Budget Description	
Output 2 High impact medium-size projects are reconstructed and local businesses supported in order to sustain gains in stabilization Baseline: No medium-size projects implemented so far in newly liberated areas Indicators: Number of implemented projects and number of beneficiaries Targets: Year 1: 80% of agreed upon priority medium-size projects implemented and 100,000 beneficiaries Year 2: 100% of projects which started	Activity Result 2.1: implementation structure set up -Action: recruit of project and operations staff for expanded stabilization channel -Action: pre-qualification of NGOs and selection of partners Activity Result 2.2: rehabilitate priority infrastructure as identified in assessments -Activity action: tendering -Activity action: contracting Activity Result 2.3: jumpstarting of local economy and cash for work -Action: selection of implementation partners -Action: selection of women economic empowerment activities -Action: monitoring mechanisms set up	X			UNDP	Scaling up for FFES: Project specialists (P3) Project manager (cost shared with FFIS channel) Procurement specialist (P3) Finance analyst (P2) Local procurement and finance expertise Field engineers	USD 4,000,000
		X	X	X	UNDP	Contracting	USD 261,370,370
		X	X	X	UNDP	2000 small start-up grants CfW: 20USD/day, 50,000 people Tools/equipment NGO contracts Monitoring company	USD 65,000,000

<p>in year 1 have been implemented and 80% of new projects of year 2 have started 300,000 people benefited from improved infrastructure and livelihoods</p>	<p>Activity Result 2.4: housing depots for house repairs and house complexes -Action: housing assessments -Action: contracting</p>	X	X	X	X	UNDP	Contracting	USD 37,500,000
<p>Year 3: 100% of projects which started in year 2 have been implemented and 80% of year 3 projects have started 300,000 people benefited from improved infrastructure and livelihoods</p>	<p>Activity result 2.5: micro insurance schemes explored and piloted -Action: deployment of expertise and sharing of best practices -Action: piloting of micro insurance scheme</p>	X	X	X	X	UNDP	Contracting	USD 2,500,000
<p>SUB-TOTAL</p>	<p>Sub-total of Output 2</p>							USD 370,370,370
<p>TOTAL</p>	<p>GMS (8%)</p>							USD 29,620,630
								<p>USD 400,000,000</p>